**Suppliers’ Certification**

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*Georgian Water and Power LLC (hereinafter GWP) has adopted a Criminal Prevention Model (Compliance Management System) that aims to promote a culture of compliance with the highest level of commitment, in order to comply with the principles and values set out in its Code of Ethics and Conduct, as well as in the laws, policies, procedures, internal rules and any other regulations. Its main objective is to prevent and detect criminal risks and try to mitigate them in order to avoid their materialisation.*

*As a result, GWP requests your cooperation and asks you to please read the provisions contained in the Code of Ethics and Conduct (which you will find attached with this questionnaire) and fill in the Responsible Statement, prior to answer this Questionnaire.*

1. ***General Information***

**Company Name**: Haga clic o pulse aquí para escribir texto.

**VAT**: Haga clic o pulse aquí para escribir texto.

**Registered Address**: Haga clic o pulse aquí para escribir texto.

**Good / Service**: Haga clic o pulse aquí para escribir texto.

**Project with GWP**: Haga clic o pulse aquí para escribir texto.

1. ***Does your company have a Code of Ethics or Code of Conduct? If yes, please, annex the document to the questionnaire.***

Yes  No

1. ***Does your company have an Anticorruption Policy, that prevents bribery, kickbacks, and fraud? If yes, please, annex the document to the questionnaire.***

Yes  No

1. ***Does your company have a Compliance Management System (CMS) that includes controls designed to mitigate compliance risks?***

Yes  No

1. ***Does your company have internal mechanisms in place to monitor compliance with implemented controls, policies, and procedures?***

Yes  No

1. ***Do employees, as well as senior and middle management in your organization, receive ethics, anticorruption or antibribery training?***

Yes  No

1. ***Does the company have a Compliance Officer or Compliance Function?***

Yes  No

***If yes, please, indicate:***

Name and Surname: Haga clic o pulse aquí para escribir texto.

Date of the appointment: Haga clic o pulse aquí para escribir texto.

1. ***Does your company have any Compliance Certification (ISO 37301, ISO 37001, etc.)?***

Yes  No

*If yes, please, specify the certifications and the dates they were obtained:*Haga clic o pulse aquí para escribir texto.

1. ***Does your company have an Internal Reporting System (Whistleblowing Channel) that complies with the requirements of confidentiality, security, anonymity, and non-retaliation, and that allows reporting to stakeholders any type of non-compliance, irregularities or violations?***

Yes  No

*If yes, please, provide the address of the website where it is published:* Haga clic o pulse aquí para escribir texto.

*If no, please, indicate the reasons for not proceeding with the implementation:* Haga clic o pulse aquí para escribir texto.

1. ***Does your company have a Suppliers’ Code of Conduct, or any other internal policy, that requires your suppliers to meet minimum standards in order to collaborate with your organization? If yes, please, annex the document to the questionnaire.***

Yes  No

1. ***Has your company implemented an Internal Certification System for its suppliers, which evaluates the degree of compliance of these third parties in ESG matters, prior to establishing a business relationship with them?***

Yes  No

1. ***In case of service provision, during the contract, would there be frequent interaction of the supplier with civil servants (including custom agents), public administration or public companies?***

Yes  No

*If yes, please, explain the scope of the interaction:*Haga clic o pulse aquí para escribir texto.

1. ***Does any shareholder of your organization, or its director, have any direct or indirect relationship with directors or employees with decision-making capacity within the FCC Group?***

Yes  No

***If yes, please, indicate:***

Name and Surname: Haga clic o pulse aquí para escribir texto.

Position: Haga clic o pulse aquí para escribir texto.

Type of relationship: Haga clic o pulse aquí para escribir texto.

1. ***Has any shareholder, director, officer, or key employee of your company been employed by or performed any activity for GWP, or any of its subsidiaries or investee companies?***

Yes  No

***If yes, please, indicate:***

Name and Surname: Haga clic o pulse aquí para escribir texto.

Company with whom he/she collaborated: Haga clic o pulse aquí para escribir texto.

Functions: Haga clic o pulse aquí para escribir texto.

Duration of the collaboration: Haga clic o pulse aquí para escribir texto.

1. ***Has the company or any of its Shareholders, directors, Officers, or key employees been investigated, sanctioned or convicted for bribery, kickbacks, extortion, political party financing, influence peddling or competition related issues in the last five (5) years?***

Yes  No

*If yes, please, elaborate on the information:* Haga clic o pulse aquí para escribir texto.

**Name:** Haga clic o pulse aquí para escribir texto.

**Surname:** Haga clic o pulse aquí para escribir texto.

**Company:** Haga clic o pulse aquí para escribir texto.

**Position:** Haga clic o pulse aquí para escribir texto.

**Date:** Haga clic o pulse aquí para escribir texto.

**Sign:**

***(\*) Please, fill out the questionnaire as completely as possible and send it back duly signed and in PDF format.***

**Responsible Person**: Georgian Water and Power LLC (GWP), with Company ID 203826002, for personal data protection purposes - Personal Data Protection Officer, Head Office, 10 Medea (Mzia) Jugheli Street, Tbilisi 0179, Georgia, contact e-mail: [pdpo@gwp.ge](mailto:pdpo@gwp.ge). **Purpose of Data Processing**: To carry out an open-source analysis on the third party to assess the compliance risks in the potential relationship with said third party. **Legitimation**: Professional and/or commercial relationship. **Recipients**: Your data will not be transferred to any third party, except in compliance with a legal obligation or a legal right. **Retention**: Your data will be kept for the time necessary for the analysis of the company and, for the period of contractual relationship. Once completed, your personal data will be blocked during the periods allowed by laws. Once these periods have elapsed, they will be destroyed. **Rights**: You can exercise your rights of access, rectification, renewal, deletion, limitation or opposition to treatment and withdraw the consent given, by writing identified with the reference "Data Protection" to: Personal Data Protection Officer, Georgian Water and Power LLC, 10 Medea (Mzia) Jugheli Street, Tbilisi 0179, Georgia or by sending an e-mail under the same terms specified to: [pdpo@gwp.ge](mailto:pdpo@gwp.ge), in which you must specify the right you are exercising and be signed by you (either physically or electronically) or provide necessary information to be able to identify you. If we are unable to identify you, we may request additional information, including without limitation a copy of your ID card. The interested parties have the right to complain to the Personal Data Protection Service (www.personaldata.ge ).